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# Characteristics of solar particle events affecting aviation

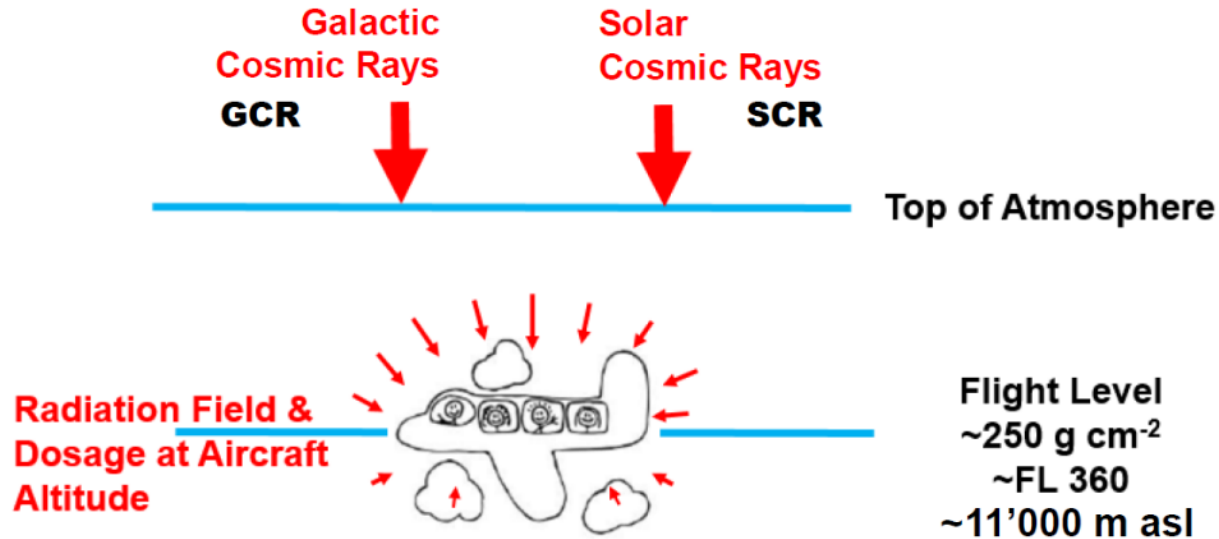
Erwin Flückiger, University of Bern -  
Physikalisches Institut / HFSJG, Switzerland

with Acknowledgements to

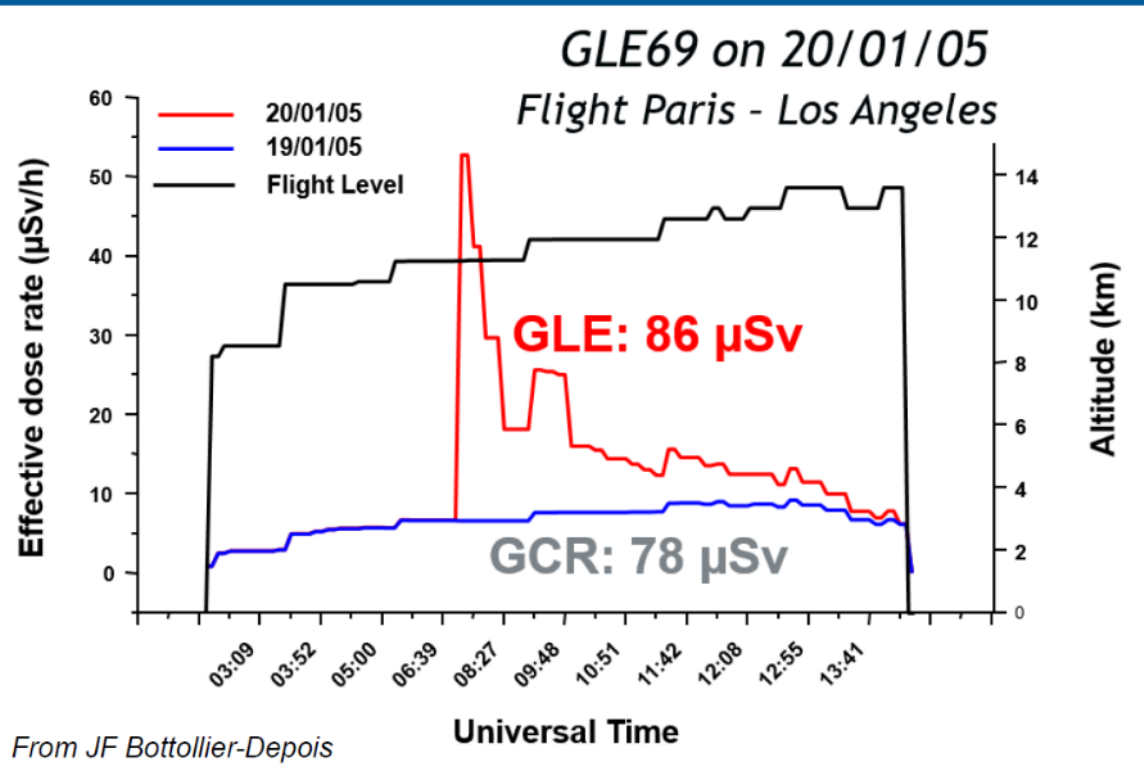
Karl Ludwig Klein, LESIA, Observatoire de Paris, France

Rolf Bütikofer, University of Bern - Physikalisches Institut / HFSJG, Switzerland

# What are we talking about?



# The Phenomenon



# The Phenomenon

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**Some  
Fundamental  
Background Information**

**(with apologies to the experts)**

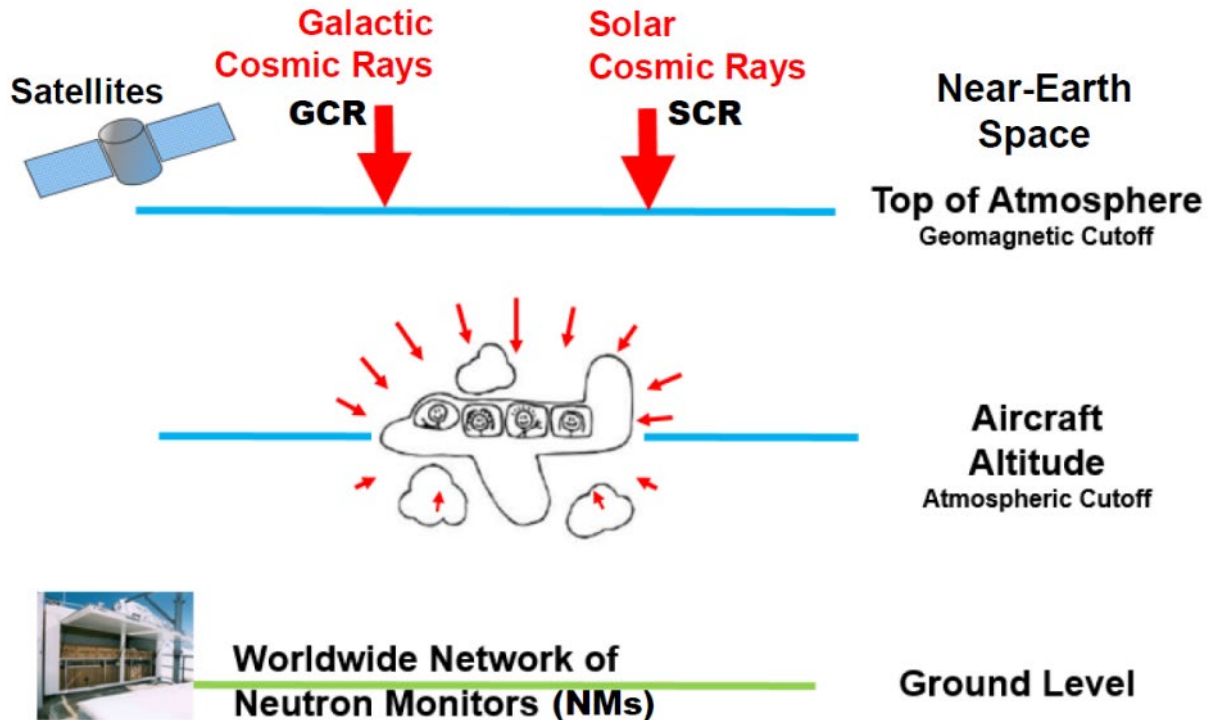
# The Phenomenon

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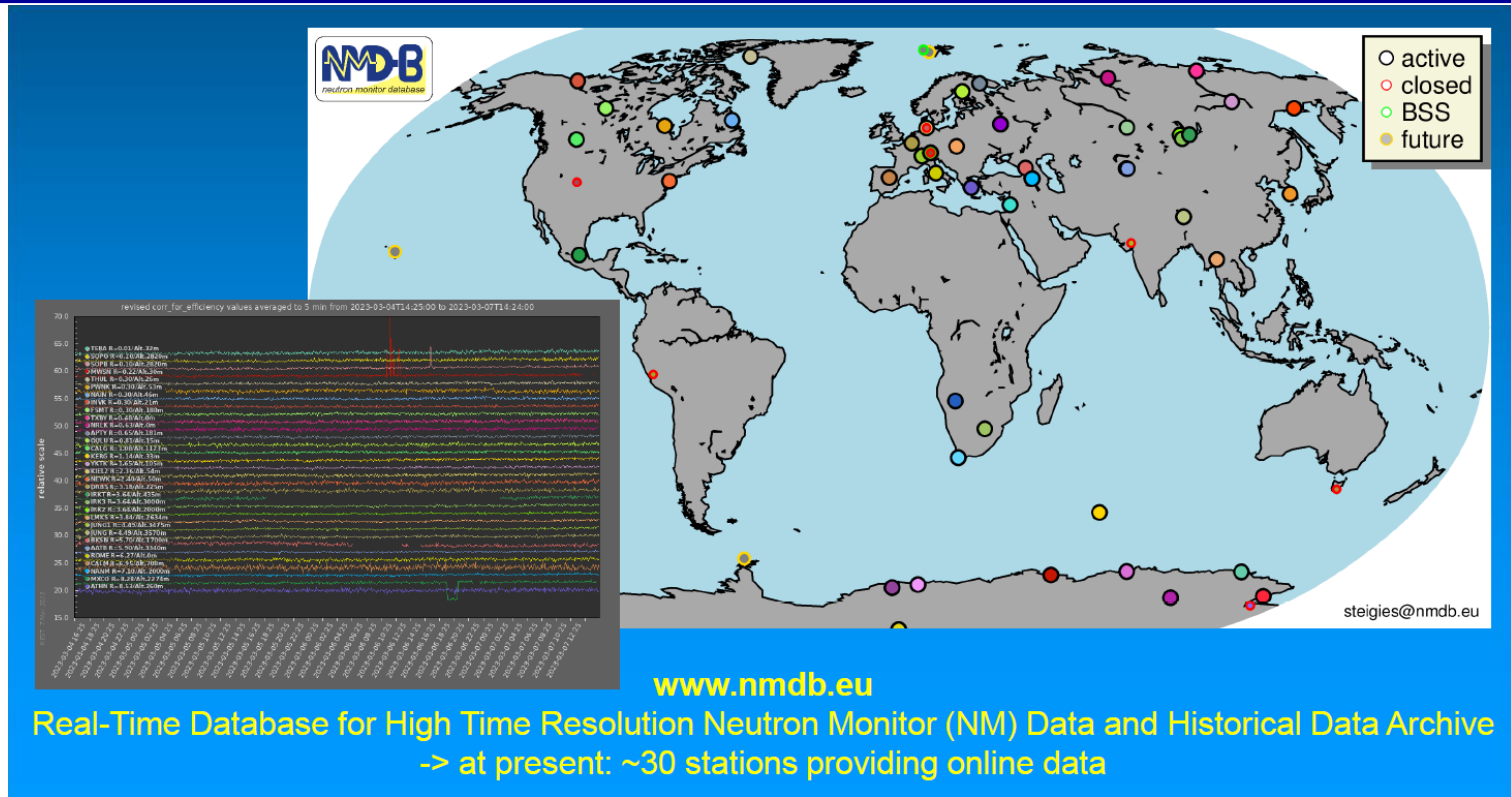
## Fundamentals I

### Observation / Manifestation of Solar Particle Events Affecting Aviation

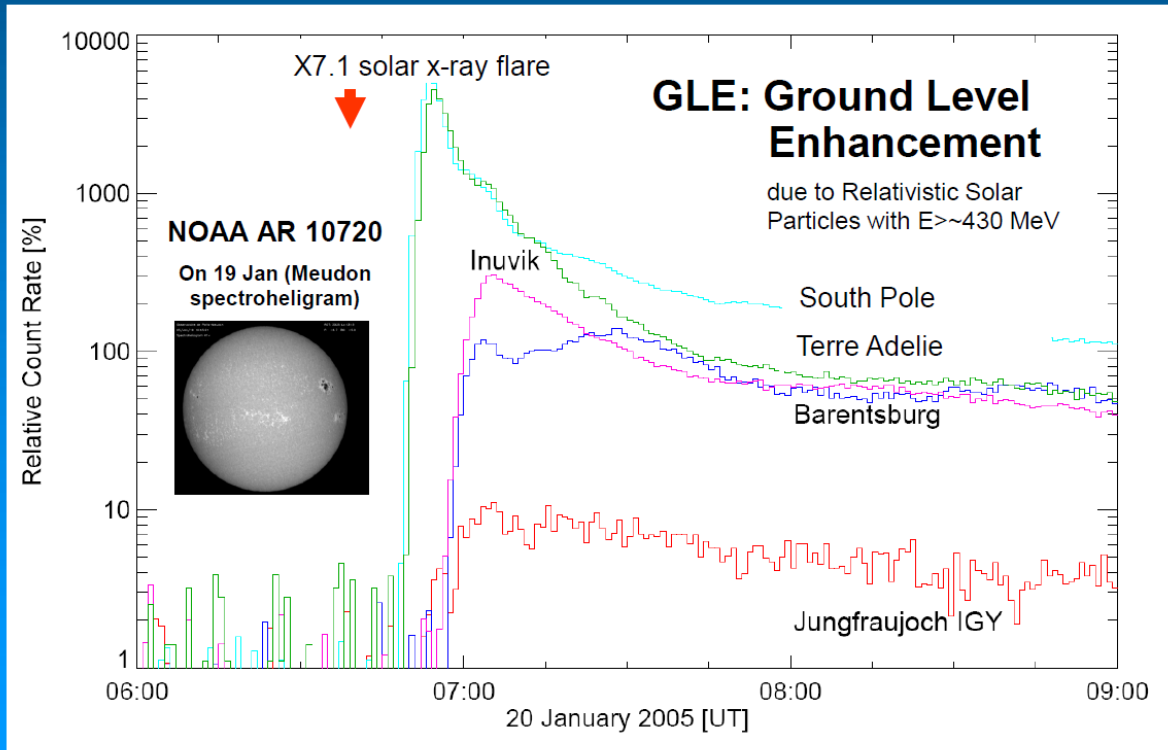
# Particle Detectors in Space and at Ground



# NMDB Worldwide Neutron Monitor Network

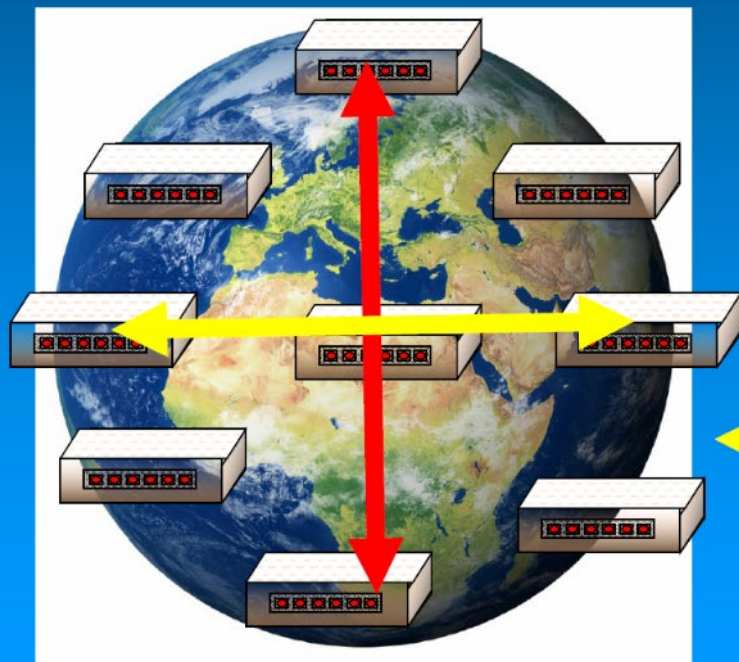


# Neutron Monitor Observations Example: 20 January 2005





# Why a GLOBAL Network of Neutron Monitors ?



## Latitudinal Spread:

- > Information about particle spectrum
- > N-S Anisotropy

## Longitudinal Spread:

- > directional (longitudinal) Information

# Terminology

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**Solar Particle Events Affecting Aviation  
are  
Energetic Solar Cosmic Ray (SCR) Events**



**Ground Level Enhancements - GLEs**

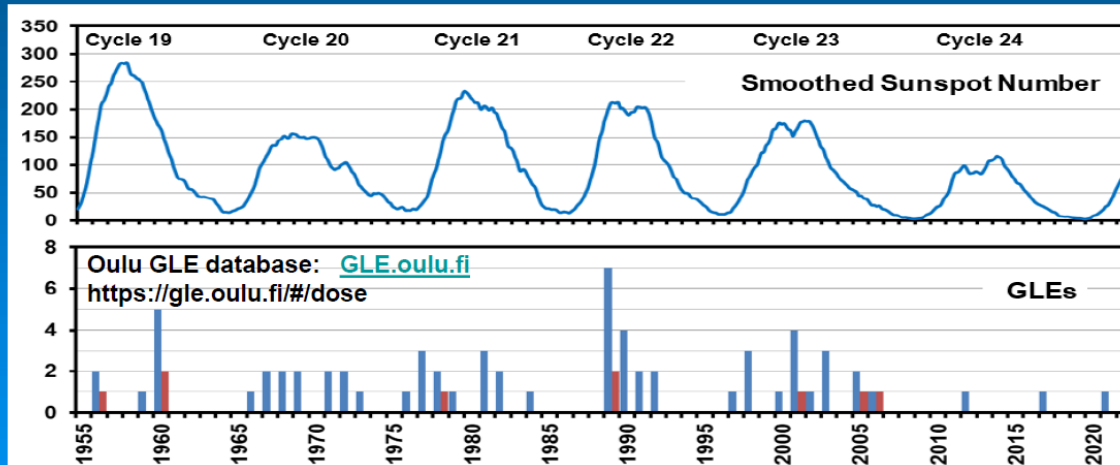
# The Phenomenon

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## Fundamentals II

### Occurrence Frequency of GLEs

# GLE Observations



Smoothed sunspot number (top panel, source: WDC-SILSO, Royal Observatory of Belgium, Brussels) and the number of GLEs per year (bottom panel) during the solar cycles 19-25 (until February 2023). Blue bars: all GLEs, red bars: GLEs with amplitude >70%. Adapted from Shea and Smart 1993 and completed with data from Oulu GLE database.

- 73 GLEs since 1942
- 25 “strong” events since 1970 (enhancement > 10% of the permanent GCR Neutron Monitor count rate at sea level)  
≈ 0.5/year (last one in Dec 2006)

# Super Events: Historical Examples

- **The Carrington Event on September 1, 1859**

(Adv. Space Res. **38** (2), 2006; Cliver & Dietrich, J. Space Weather Space Clim., **3**, A31, 2013)

$F_{30} \approx 2 \times 10^{10}$  p/cm<sup>2</sup>; Dst  $\approx$  -1600 nT

- **The 775 AD event**

(Miyake et al., Nature, 486, 240–242, 2012)

$F_{30} \approx 5 \times 10^{10}$  p/cm<sup>2</sup> (?)

- **The «Carrington» Storm that missed the Earth, July 23, 2012**

(Baker et. al., SPACE WEATHER, VOL. 11, 585–591, 2013)

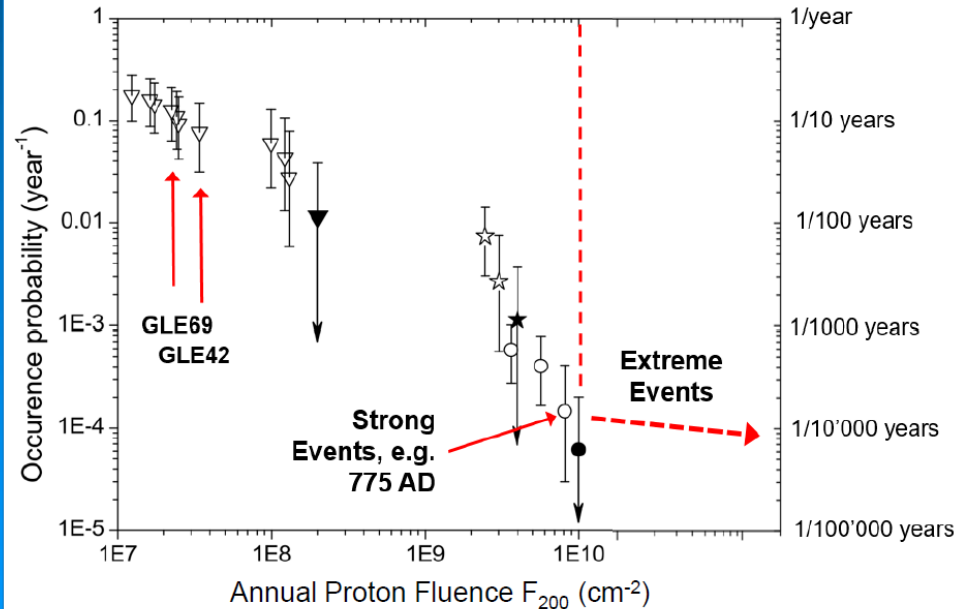
estimated Dst  $\approx$  -1200 nT

# Occurrence Probability of GLEs

## Size Distribution of GLEs (proton fluences > 200MeV)

GLEs and historical events from terrestrial records  
Y-axis: Cumulative occurrence probability density of annual fluences  $F_{200}$  greater than the value given on the X-axis.  
X-Axis: Annual proton fluence  $F_{200}$  ( $\text{cm}^{-2}$ )

Points correspond to the annual proton fluences  $F_{200}$  for the space era (since 1955, triangles), and to cosmogenic radionuclides in terrestrial archives for the Holocene (stars & circles)



adapted from Kovaltsov et al. 2014, Solar Phys., 289, 4691

see e.g. also Schrijver, ESWW 2014 & JGR 117, A08103, 2012; Aulanier et al., A&A 549, A66, 2013

# The Phenomenon

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## Fundamentals III

### Characteristics of GLEs

# Characteristics of GLEs /SCR Particles near Earth

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**Particle Energy/Rigidity Spectrum**

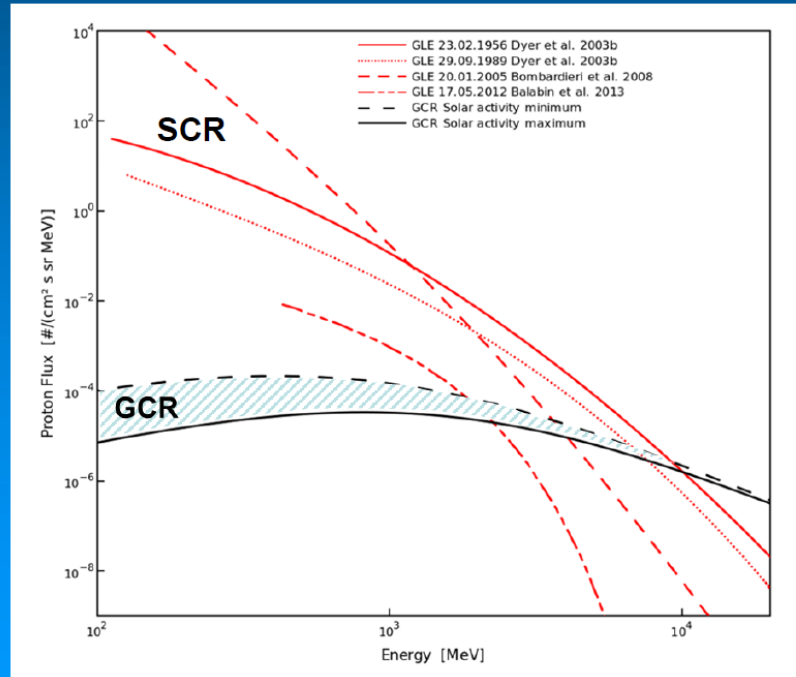
**Pitch angle distribution / Anisotropy**

**Apparent source direction**

**....all these characteristics usually change with time**



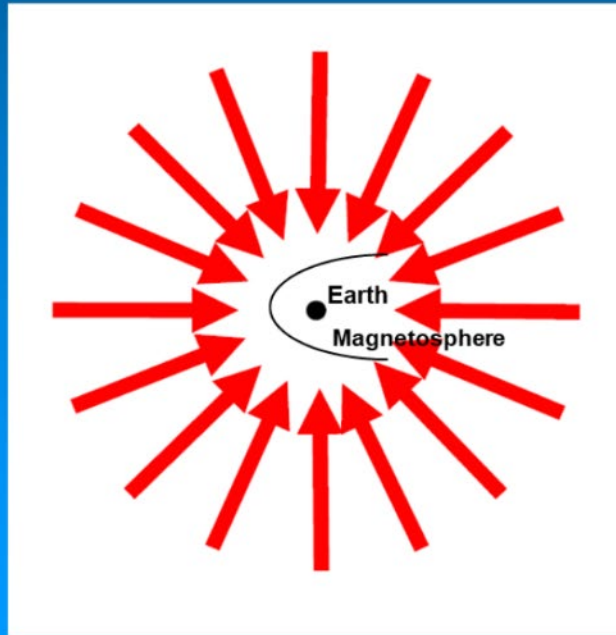
# SCR Particle Spectrum



The SCR proton peak flux during selected GLEs as derived from data of the worldwide network of NMs, and the GCR proton spectrum during minimum and maximum solar activity (see e.g. Dyer, 2003, Bombardieri, 2008, Balabin, 2013).

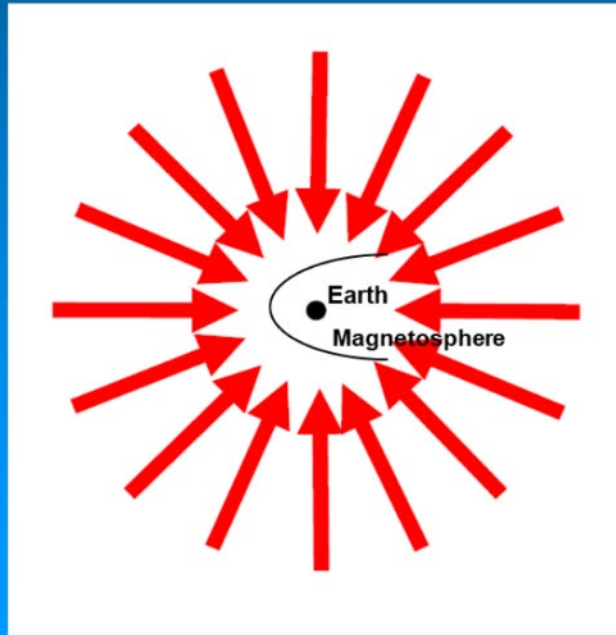
# SCR Isotropy / Anisotropy

Isotropy (GCR, SCR)

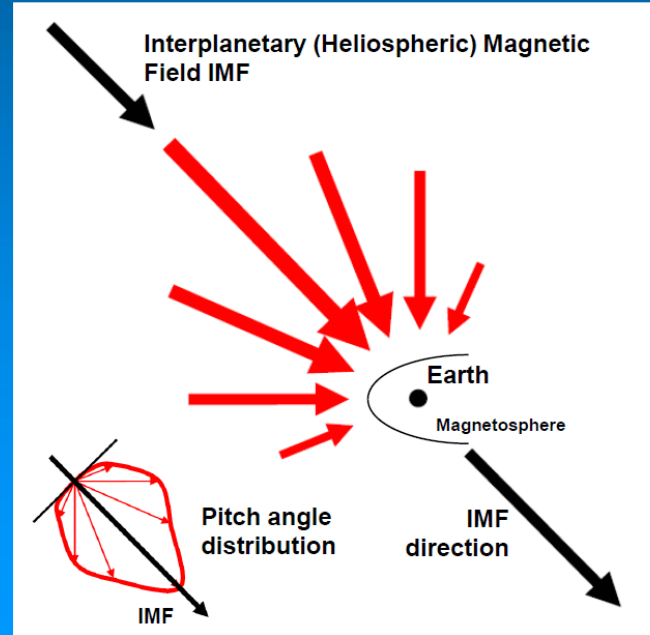


# SCR Isotropy / Anisotropy

## Isotropy (GCR, SCR)



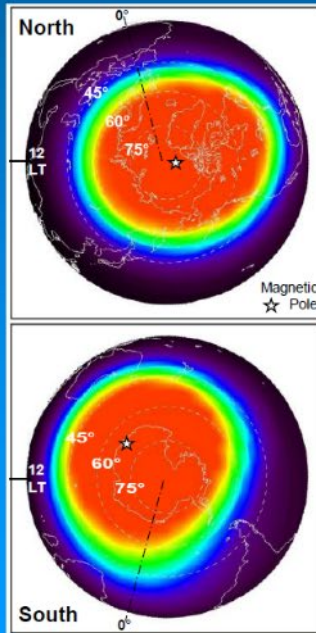
## Anisotropy (SCR)



# SCR Isotropy / Anisotropy & Geomagnetic Effects

Example: 20 January 2005 GLE 69 - Maximum Phase (~07:00 UT) Dose Simulation for  $250 \text{ g cm}^{-2}$  (~10.4 km)

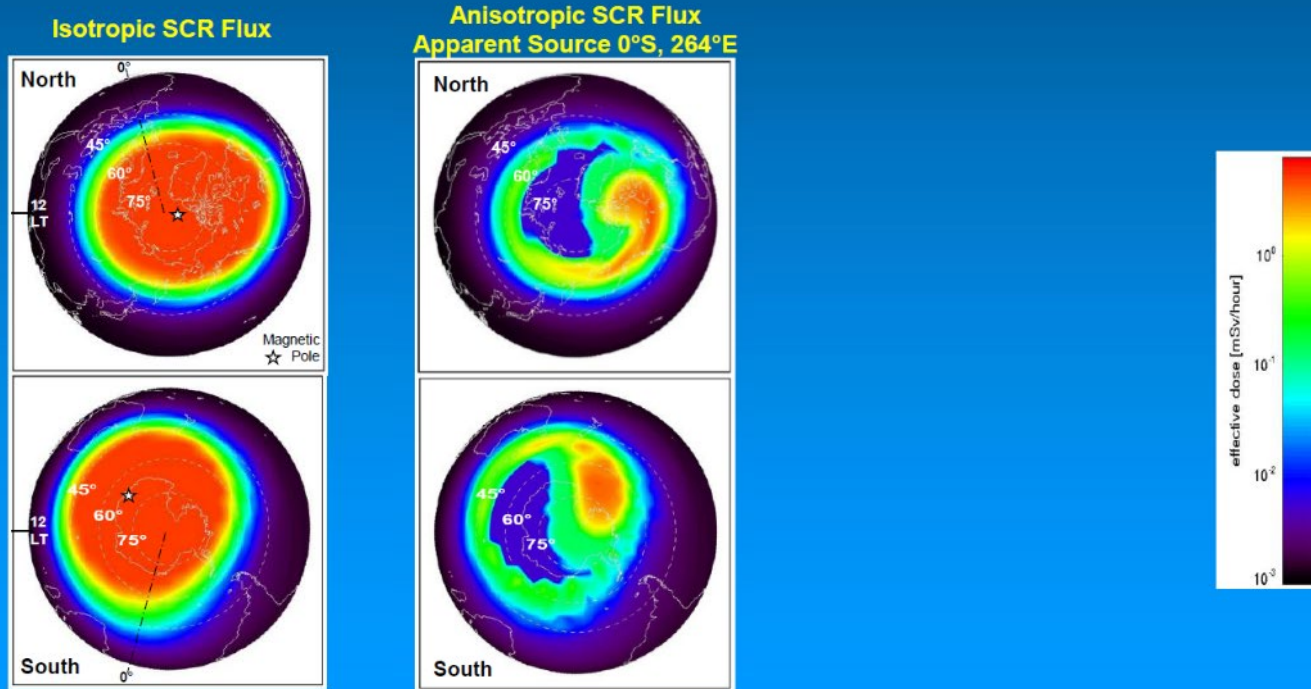
## Isotropic SCR Flux



adapted from Bütikofer & Flückiger, *Astrophys. Space Sci. Trans.*, 7, 105–109, 2011; [www.astrophys-space-sci-trans.net/7/105/2011/](http://www.astrophys-space-sci-trans.net/7/105/2011/)

# SCR Isotropy / Anisotropy & Geomagnetic Effects

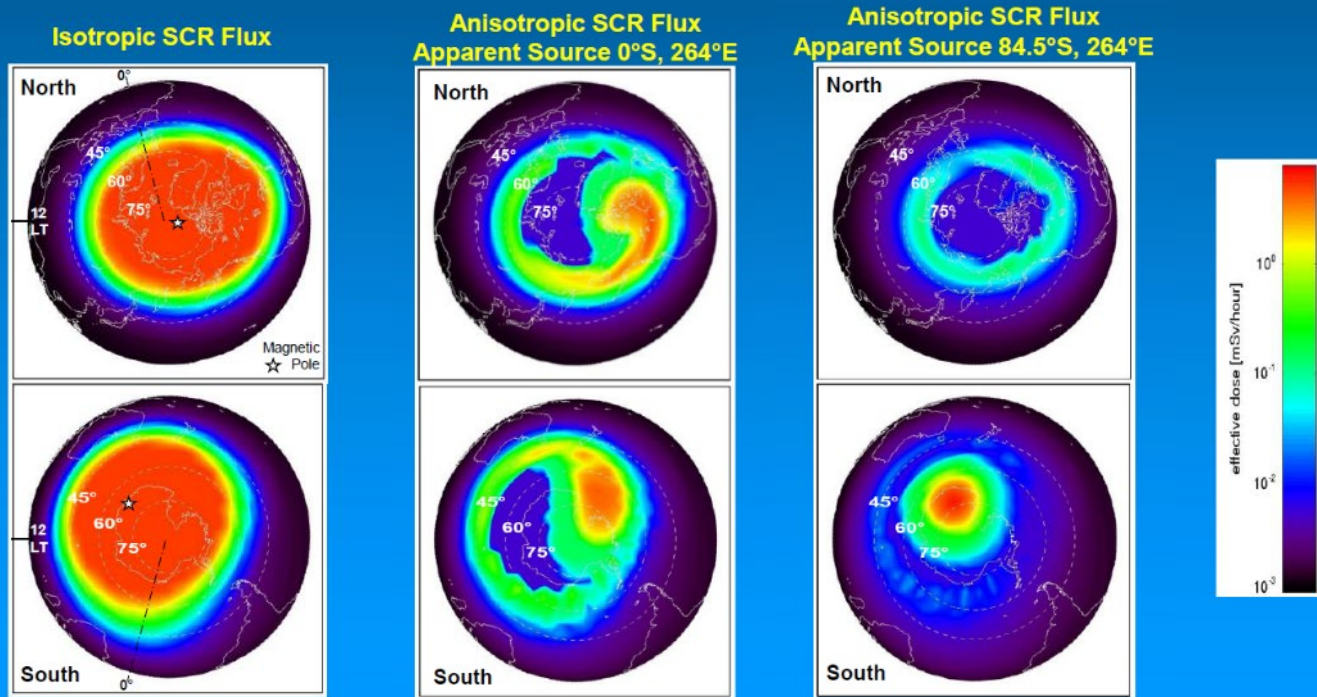
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# SCR Isotropy / Anisotropy & Geomagnetic Effects

Example: 20 January 2005 GLE 69 - Maximum Phase (~07:00 UT) Dose Simulation for  $250 \text{ g cm}^{-2}$  (~10.4 km)



adapted from Büttikofer & Flückiger, *Astrophys. Space Sci. Trans.*, 7, 105–109, 2011; [www.astrophys-space-sci-trans.net/7/105/2011/](http://www.astrophys-space-sci-trans.net/7/105/2011/)

# Radiation Field at Aircraft Altitudes...

## ...during GLEs - Solar Particle Events defined by

- Characteristics of Solar Particles near Earth,  
i.e.

Particle Energy/Rigidity Spectrum

Isotropy / Anisotropy

in case of Anisotropy: Arrival Direction

and

- Level of GCR radiation field
- Level of Geomagnetic Activity

# Dose Calculations for GLEs

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## Fundamentals IV

### Principle of Dose Calculations for Aircraft Altitudes during GLEs

-> 2 Elements



# Dose Calculations for GLEs

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## Element 1:

### Dose due to GCR

taking in to account modulation effects  
(11-year cycle, Forbush decreases) and  
level of geomagnetic activity

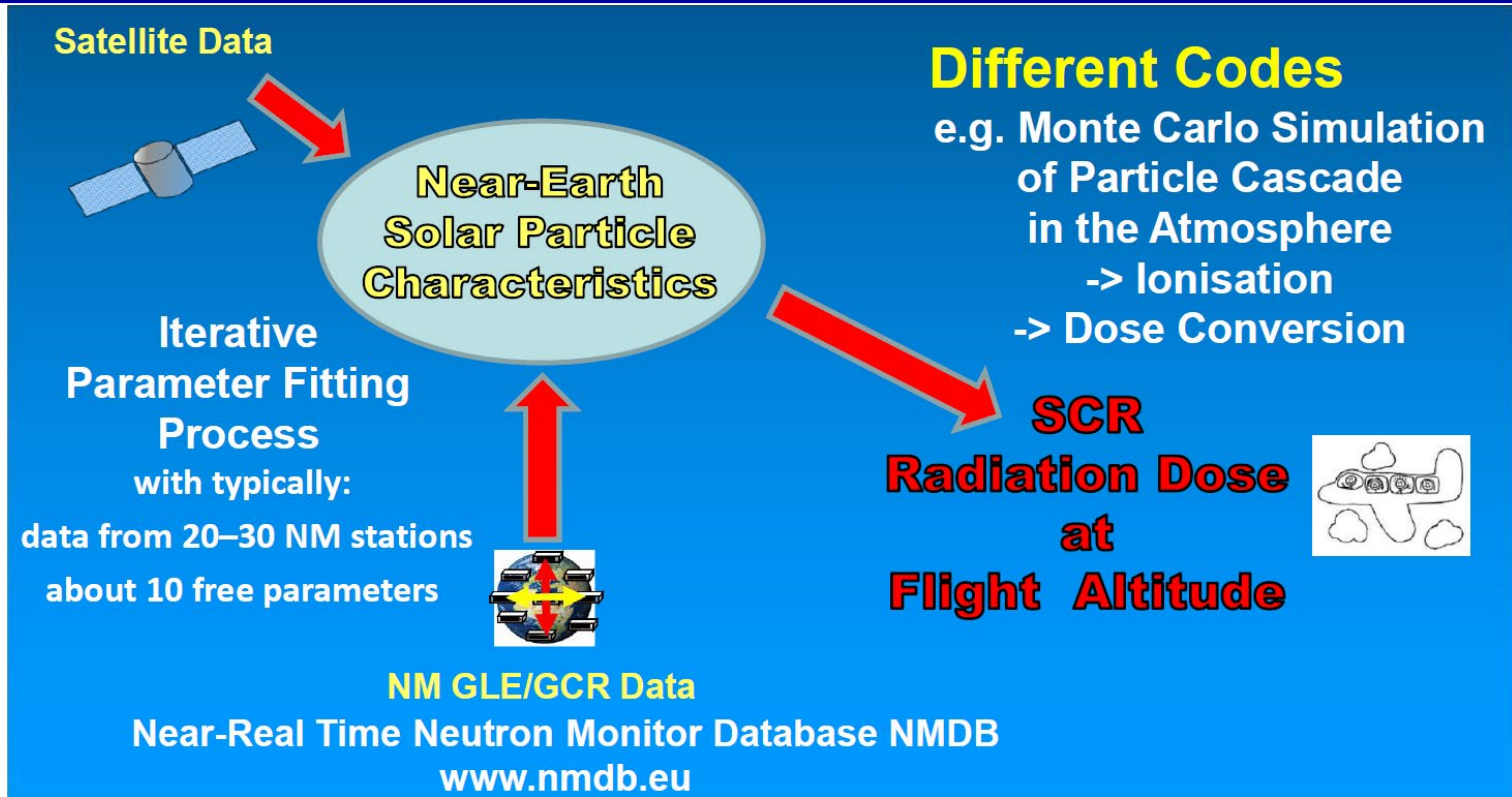
-> certified standard procedures

## Element 2:

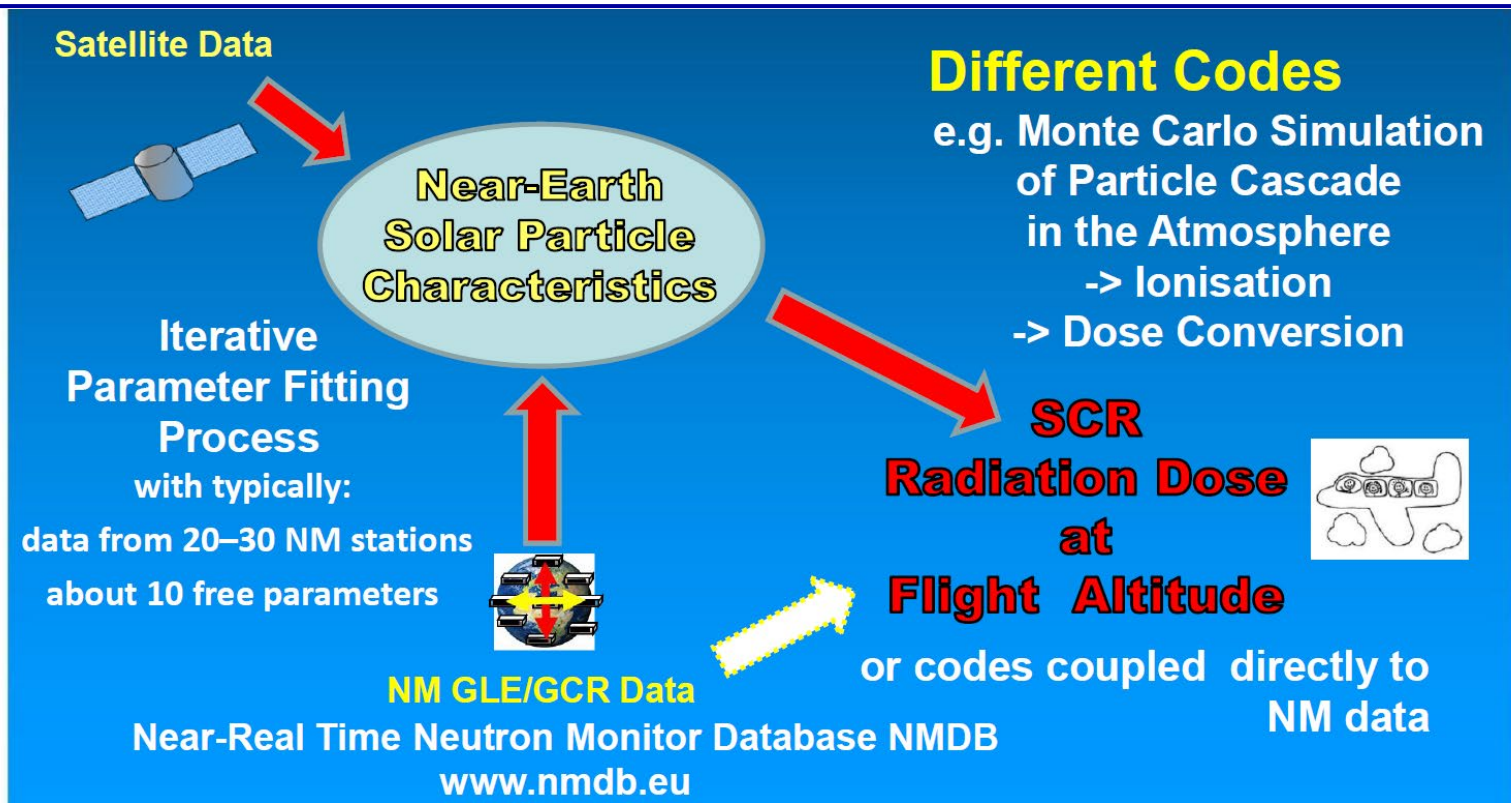
### Dose due to SCR

taking into account GLE characteristics,  
GCR modulation, and level of geomagnetic activity

# Element 2: Analysis Procedure for SCR Dose



# Element 2: Analysis Procedure for SCR Dose



# The EURADOS/WG11 Study

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**The two GLEs selected for the Study:**

**Event 1: «synthetic» event  
(based on GLE 42 / 29 September 1989)**

**Event 2: realistic case  
GLE 69 / 20 January 2005**

# Event 1: «Synthetic» GLE42

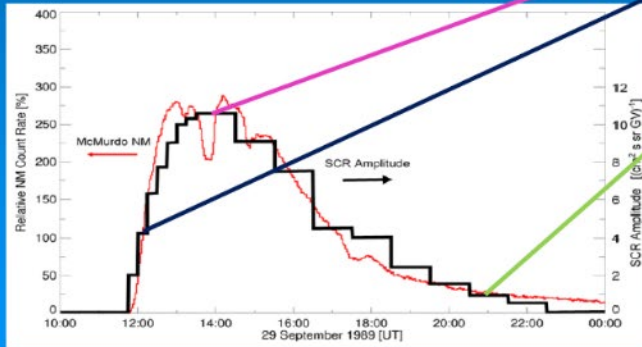
(based on GLE42 / 29 September 1989)

**Pre-determined GLE input parameters  
for all dose assessment procedures:**

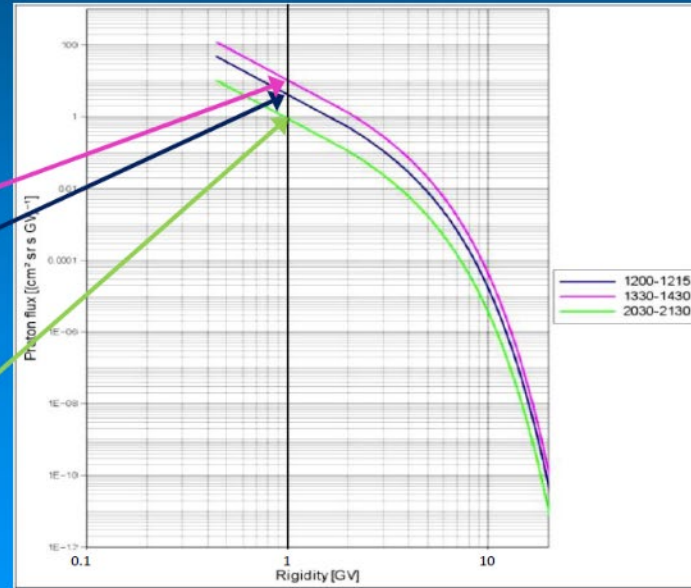
- > **date and time**
- > **particle flux near Earth isotropic at all times**
- > **energy/rigidity spectrum of solar particles:**
  - **spectral form constant with time**  
(for details see EURADOS Report)
  - **variation of particle flux amplitude at 1GV as a function of time pre-set based on NM data**

# Event 1: «Synthetic» GLE42

based on GLE42 / 29 September 1989

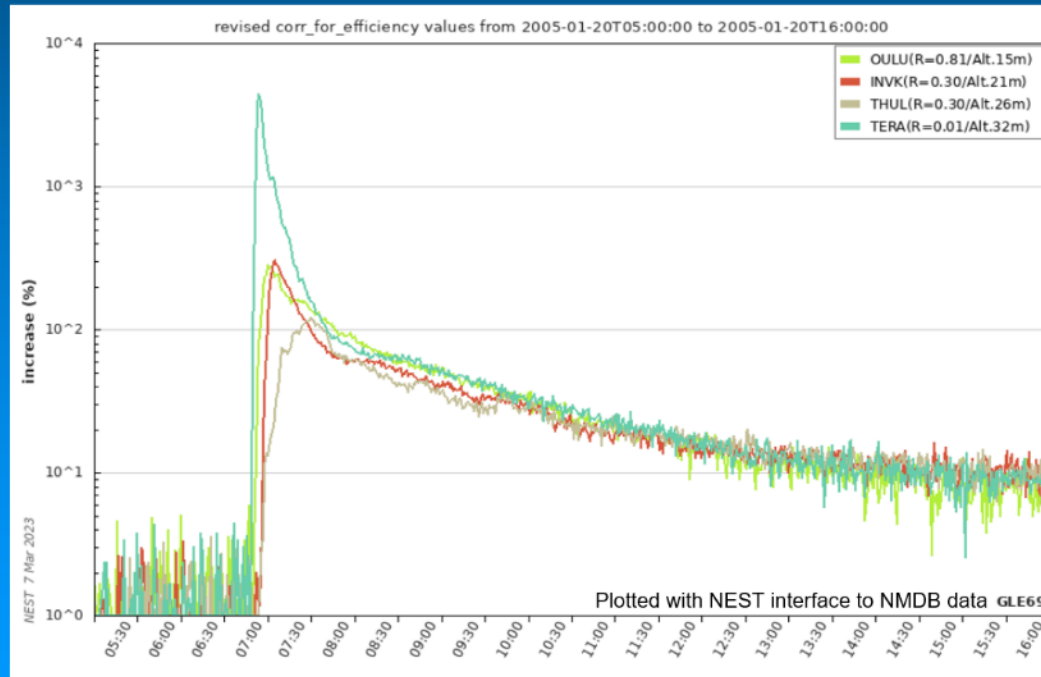


5-minute pressure corrected relative count rate (red) of the NM at McMurdo, Antarctica, and amplitude of the SCR flux at 1 GV (black) as defined for the study of the simplified GLE42.



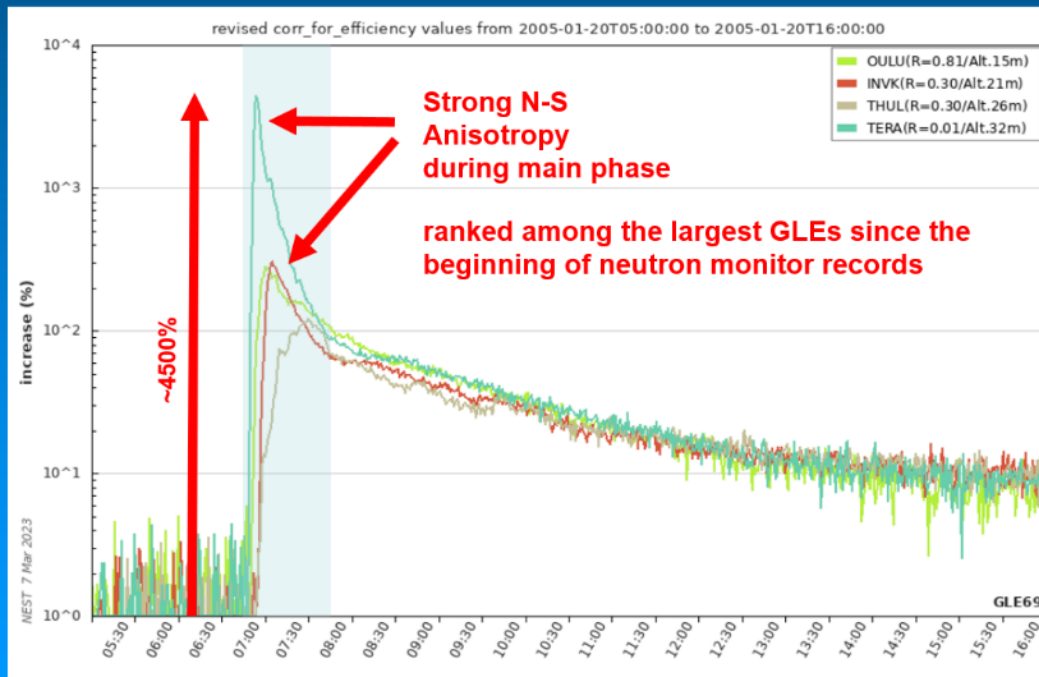
Differential rigidity spectrum of solar particles for the time intervals 12:00-12:15 UTC, 13:30-14:30 UTC, and 20:30-21:30 UTC.

# Event 2: GLE 69 / 20 January 2005



Relative neutron monitor count rate increases of selected stations during GLE69 provided by NMDB. Responses of NMs in Antarctica, Terre Adélie (TERA), recorded significantly different intensities than stations on the northern hemisphere, Oulu (OULU), Inuvik (INVK) and Thule (THUL).

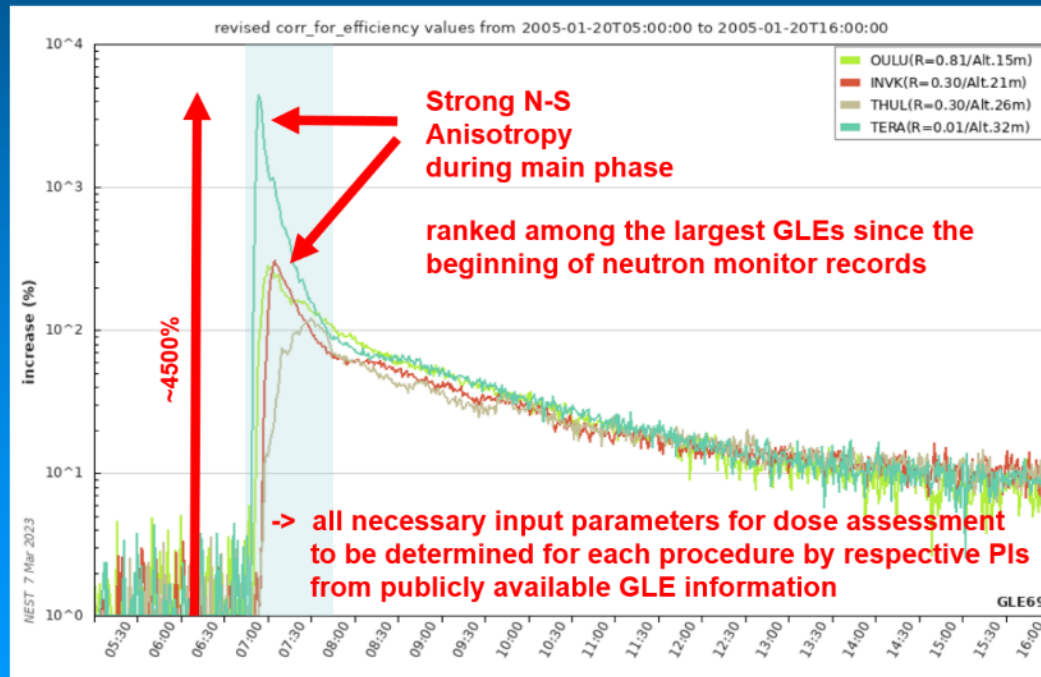
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